

FORM PTO 1390 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 30120/32007
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/509195
INTERNATIONAL APPLICATION NO. PCT/DK03/00203	INTERNATIONAL FILING DATE 27 March 2003	PRIORITY DATE CLAIMED 27 March 2002	
TITLE OF INVENTION ARRANGEMENT AND METHOD FOR DIVISION OF ANIMALS INTO GROUPS AND TRANSFER OF GROUPS OF ANIMALS TO A STUNNING APPARATUS			
APPLICANT(S) FOR DO/EO/US Leif Christensen			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: General Authorization Under 1.136(a)(3); Copy of Form PCT/ISA/210; Copy of Form PCT/RO/106; Copy of Form PCT/IB/308; Copy of Form PCT/ISA/217; Rectified Sheet (Rule 91) - page 12, Copy of WO 03/079799 A1

U.S. APPLICATION NO. 10/509195 <small>(If known, see 37 CFR 1.61)</small>		INTERNATIONAL APPLICATION NO. PCT/DK03/00203		ATTORNEY'S DOCKET NUMBER 30120/32007																																																																
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)): <div style="margin-left: 20px;"><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</div> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).</p> <table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:20%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:20%;">RATE</th><th style="width:20%;"></th></tr></thead><tbody><tr><td>Total claims</td><td>20-20 =</td><td></td><td>.X</td><td>\$</td></tr><tr><td>Independent claims</td><td>2-3 =</td><td></td><td>X</td><td>\$</td></tr><tr><td colspan="4">MULTIPLE DEPENDENT CLAIM(s) (if applicable)</td><td>+</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td><td>\$ 920.00</td></tr><tr><td colspan="4"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td><td>\$</td></tr><tr><td colspan="4" style="text-align: right;">SUBTOTAL =</td><td>\$ 920.00</td></tr><tr><td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td><td>\$</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td><td>\$ 920.00</td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td><td>+\$</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td><td>\$ 920.00</td></tr><tr><td colspan="4" rowspan="2"></td><td style="text-align: right;">Amount to be refunded:</td><td>\$</td></tr><tr><td style="text-align: right;">charged:</td><td>\$</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	20-20 =		.X	\$	Independent claims	2-3 =		X	\$	MULTIPLE DEPENDENT CLAIM(s) (if applicable)				+	TOTAL OF ABOVE CALCULATIONS =				\$ 920.00	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	SUBTOTAL =				\$ 920.00	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$	TOTAL NATIONAL FEE =				\$ 920.00	Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+\$	TOTAL FEES ENCLOSED =				\$ 920.00					Amount to be refunded:	\$	charged:	\$	CALCULATIONS PTO USE ONLY	
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a. <input checked="" type="checkbox"/> A check in the amount of \$ 920.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2855. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																																				
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <div style="display: flex; justify-content: space-between;"><div style="width: 45%;">SEND ALL CORRESPONDENCE TO: Jeremy R. Kriegel MARSHALL, GERSTEIN & BORUN LLP 233 S. Wacker Drive, Suite 6300 Sears Tower Chicago, Illinois 60606-6357 (312) 474-6300 CUSTOMER NUMBER: 04743</div><div style="width: 45%; text-align: center;"><div style="font-size: 1.2em; margin-bottom: 5px;">Jeremy R. Kriegel</div><div style="border-top: 1px solid black; display: inline-block; width: 100%;"></div><div style="margin-bottom: 5px;">SIGNATURE:</div><div style="border-top: 1px solid black; display: inline-block; width: 100%;"></div><div style="margin-bottom: 5px;">NAME</div><div style="border-top: 1px solid black; display: inline-block; width: 100%;"></div><div style="margin-top: 20px;">39,257</div><div style="border-top: 1px solid black; display: inline-block; width: 100%;"></div><div style="margin-top: 5px;">REGISTRATION NUMBER</div></div></div>																																																																				

10/509195

DT15 Rec'd PCT/PTO 24 SEP 2004

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 30120/32007

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV457202465 US in an envelope addressed to:

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on September 24, 2004
Date



Signature

Richard Zimmermann

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office

PATENT
30120/32007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:) "EXPRESS MAIL" mailing label No.
Leif Christensen) EV 457202465 US. Date of Deposit:
Serial No. Unknown) September 24, 2004, I hereby certify that this
Filed: Unknown) paper (or fee) is being deposited with the
Title: ARRANGEMENT AND METHOD) United States Postal Service "EXPRESS
FOR DIVISION OF ANIMALS INTO) MAIL POST OFFICE TO ADDRESSEE"
GROUPS AND TRANSFER OF) service under 37 CFR §1.10 on the date
GROUPS OF ANIMALS TO A) indicated above and is addressed to: MS PCT,
STUNNING APPARATUS) Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313
Richard Zimmerman

GENERAL AUTHORIZATION UNDER 37 CFR 1.136(a)(3)

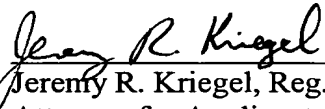
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

Applicant hereby requests and authorizes that any future reply concerning this patent application requiring a petition for an extension of time under 37 CFR 1.136 for its timely submission be treated as incorporating a petition for extension of time for the appropriate length of time.

Respectfully submitted,

Date: September 24, 2004


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